

University of Rochester
Software Disclosure and Copyright Assignment Form

OTT office use only Disclosure No: _____ Manager _____ IR? Yes NA

1. Please list the names of all potential contributors to this work.

Name _____ Position _____
Department _____
Business Address _____
Business Phone _____ Business Fax _____
Email Address _____
Home Address _____
_____ Citizenship _____

Name _____ Position _____
Department _____
Business Address _____
Business Phone _____ Business Fax _____
Email Address _____
Home Address _____
_____ Citizenship _____

Name _____ Position _____
Department _____
Business Address _____
Business Phone _____ Business Fax _____
Email Address _____
Home Address _____
_____ Citizenship _____

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Business Address _____
Business Phone _____ Business Fax _____
Email Address _____
Home Address _____
_____ Citizenship _____

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2. Please list the contribution made by each contributor.

3. Name or title of computer software program ("Software"):

4. Please provide a brief description of the Software, including its objective:

5. Sources of Support:

a. Collaboration with other institutions (*if none, please note*)

b. Federal Funds (*list granting or contracting agencies—if none, please note*)

Agency	Contract/Grant #
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_____	_____
_____	_____

c. Corporate or Foundation sponsors (*if none, please note. Please specify any gifts.*)

Sponsor	Contract/Grant #
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_____	_____
_____	_____

6. Demonstration and Distribution of Software:

a. To others within the University:

To Whom Demonstrated	Department	Date Demonstrated
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_____	_____	_____
_____	_____	_____
_____	_____	_____

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b. To others outside the University:

To Whom Demonstrated	Organization	Date Demonstrated
_____	_____	_____
_____	_____	_____
_____	_____	_____

c. Who has a copy of the Software? (*if no one, please note*)

Person(s)	Department/Organization	Date Given
_____	_____	_____
_____	_____	_____
_____	_____	_____

7. Please identify any program code, data, files, or other incorporated elements (including, for example, subroutines, embedded modules, runtimes, voices or other sounds, graphics or other images) not original to the contributing developer(s). Please append copies of releases or licenses.

8. Please indicate if this Software is a modification or an improvement to an existing work, and, if it is, please identify that work and its developer(s).

Software Disclosure Detail—Market Potential

A. Description of the Software:

1. Describe the methods used by the Software: _____

2. Describe what hardware configuration is required to operate the Software:

3. Identify what operating system is required: _____

4. Indicate what utilities are required: _____

5. Identify what programming language was used to create the Software:

6. Indicate the code format in which you recommend the Software be distributed (e.g., object code only, object & source): _____

7. Assess how user-friendly the Software is relative to its intended users (e.g., does it have Help features, or a written *User's Manual*? Please provide a copy of the latter, if available).

8. Describe the current state of the Software program's development (Fully functional? Fully tested by developer(s)? Fully tested by someone else?).

B. Market Potential for this Software:

1. Please describe the unique benefits the Software offers to users and compare to alternative products or techniques. (Why would someone want to use this Software?)

2. What level of support for the Software are you prepared to provide?

3. Summarize the marketing information you have:

a. Describe potential end users: _____

b. Do you know any potential end users? If so, please list:

Organization	Personal Contact	Phone Number
_____	_____	_____
_____	_____	_____
_____	_____	_____

c. Do you know any potential publishers or distributors? If so, please list:

Organization	Personal Contact	Phone Number
_____	_____	_____
_____	_____	_____
_____	_____	_____

d. Estimate the market price range for this Software: _____

4. Do you know any independent experts in this, or a relevant software market, with whom we may communicate (with your approval) to get additional information?

Name	Address	Telephone Number
_____	_____	_____
_____	_____	_____
_____	_____	_____

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Assignment of Copyright

For good and valuable consideration, the receipt and sufficiency of which I hereby acknowledge, I, the undersigned hereby irrevocably assign and transfer to the University of Rochester all my rights, title, and interest, including copyright, in and to the Software, its associated documentation, if any, and any results and proceeds thereof.

I affirm that, to the best of my knowledge, the information provided in this Disclosure Form is true and complete, and the Software is the original creation of the persons named as Contributing Developers.

I have not previously transferred, encumbered, or pledged my interest in the Software in any manner which would impair or conflict with this Assignment to the University of Rochester.

Accepted and Agreed by:

Developer Name: _____

Citizenship: _____ If not U.S. citizen, indicate visa type: _____

If not U.S. citizen, (check one) Resident Alien _____ Nonresident Alien _____

Title: _____ Degree: _____

Department: _____

Campus Address: _____

Home Address: _____

Work Telephone: _____ Home Telephone: _____ Facsimile: _____

Signature: _____ Date: _____

Developer Name: _____

Citizenship: _____ If not U.S. citizen, indicate visa type: _____

If not U.S. citizen, (check one) Resident Alien _____ Nonresident Alien _____

Title: _____ Degree: _____

Department: _____

Campus Address: _____

Home Address: _____

Work Telephone: _____ Home Telephone: _____ Facsimile: _____

Signature: _____ Date: _____

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Developer Name: _____

Citizenship: _____ If not U.S. citizen, indicate visa type: _____

If not U.S. citizen, (check one) Resident Alien _____ Nonresident Alien _____

Title: _____ Degree: _____

Department: _____

Campus Address: _____

Home Address: _____

Work Telephone: _____ Home Telephone: _____ Facsimile: _____

Signature: _____ Date: _____

Developer Name: _____

Citizenship: _____ If not U.S. citizen, indicate visa type: _____

If not U.S. citizen, (check one) Resident Alien _____ Nonresident Alien _____

Title: _____ Degree: _____

Department: _____

Campus Address: _____

Home Address: _____

Work Telephone: _____ Home Telephone: _____ Facsimile: _____

Signature: _____ Date: _____

Total number of pages contained in this Disclosure and Assignment, including attachments: _____

Witnessed by:

Disclosed to and understood by me this ____ day of _____, 20____.

Witness: _____
Signature Typed Name

Instructions:

1. After completing this disclosure form, all Contributing Developers should sign below and this Disclosure and Assignment should be witnessed by a University employee who is knowledgeable enough in the field to understand the disclosed content, but who is not a Contributing Developer of the Software.
2. Please append a copy of the first twenty-five (25) and last twenty-five (25) pages of the Software in source code form; and a complete copy of any associated materials, such as user documentation. When the program is so structured that there is no identifiable beginning or end as is often the case, please make a determination as to which pages may reasonably represent the first 25 and last 25 pages. If you believe that submission of this portion of the Software in source code form would disclose trade secret information, please consult the Office of Technology Transfer concerning alternate deposit requirements.

Adding a Copyright Notice to University of Rochester Developed Software

Copyright protection arises automatically when an original work of authorship is "fixed in a tangible medium of expression." Software, like other works of authorship, is protected by copyright when it is written, without registration. Registration has benefits, however, and the University will review Software Disclosures to determine whether copyright registration is appropriate in each instance.

An appropriate copyright notice should be affixed to all University-developed software, upon its creation. All software developed at the University of Rochester should display the following copyright notice:

© (or Copyright) 20___ [year of first publication]

The University of Rochester

All Rights Reserved

This notice should appear in at least the following places:

1. on the computer screen when the user enters the program;
2. at the beginning of any printed or plotted output;
3. on stickers attached to the floppy disk and disk sleeve, tape case, and any other packaging materials containing the software;
4. at the beginning of the user's manual or documentation; and
5. on all promotional and sales literature or sample disks or tapes.

Additional explanations:

If you cannot duplicate the copyright symbol ©, use the word "Copyright." Generally, (c) is not sufficient.

While copyright vests as soon as it is "fixed in a tangible medium of expression," the copyright date to include in the notice is the date when the program is first published (e.g., when it is first distributed, placed "on sale" or publicly displayed). If you make enhancements or modifications later you may use both dates of publication, for example, "1998-2000". If you are unsure of what is the appropriate date, as a general rule, you are safer picking the earlier one.

Software source code is generally protected by maintaining its confidentiality. Source code for which protection is sought should be distributed under an agreement from the Office of Technology Transfer, providing for its non-disclosure.