

UNIVERSITY OF <b>ROCHESTER</b> MEDICAL CENTER	UNIVERSITY OF ROCHESTER MEDICAL CENTER POLICY	Compliance Committee
	SECTION Compliance	March 2002
	Mission of the Corporate Compliance Plan	PAGE: 1 of 1

**PURPOSE:**

To evidence the dedication to compliance with all applicable laws and regulations, the URMC has adopted, developed and implemented the Corporate Compliance Plan.

**POLICY:**

The mission of the URMC is to provide quality, cost effective health care. In fulfilling its mission, the URMC is dedicated to adhering to the highest ethical standards. The Compliance Plan is an integral part of the URMC's routine operations and the URMC's intent to comply with applicable laws and regulations will be communicated to all personnel.

**PROCEDURE:**

1. The Plan will include:
  - a. the assessment of business activities and risks
  - b. the education of personnel regarding compliance requirements; training personnel to conduct their job responsibilities in compliance with laws and regulations and in accordance with the policies and procedures of the URMC Compliance Program
  - c. auditing and monitoring to measure compliance
  - d. enforcement and discipline components that ensure that all personnel take their compliance responsibilities seriously.

2. The day-to-day responsibility for operation and oversight of the Corporate Compliance Plan rests with the Compliance Officer. The Compliance Officer will report Compliance Program activities and potential organizational risk to the URMC leadership periodically and as deemed necessary; and to the URMC Board of Trustees at least once per year.