

UNIVERSITY OF ROCHESTER MEDICAL CENTER	UNIVERSITY OF ROCHESTER MEDICAL CENTER POLICY	Compliance Committee
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PURPOSE:

To establish a Compliance Program for the University of Rochester Medical Center (URMC).

POLICY:

An effective Compliance Program can help mitigate those risks associated with health care operations. In fulfillment of the University of Rochester Medical Center’s Board of Trustees oversight responsibilities, URMC management is charged with the responsibility of establishing a Compliance Program to help ensure that appropriate information as to compliance with all applicable laws and regulations will come to the attention of the Board of Trustees and management in a timely manner as a matter of ordinary operations.

PROCEDURE:

1. The URMC will establish a Compliance Office to develop and maintain an effective Compliance Program.
2. A Compliance Officer will be appointed with the delegated authority to manage the day-to-day operations of the Compliance Program.
3. The URMC will ensure that the Compliance Program includes the following elements:
 - a. Written Policies, Standards, and Procedures
 - b. Oversight
 - c. Education and Training
 - d. Monitoring and Auditing
 - e. Reporting
 - f. Enforcement and Discipline
 - g. Response and Prevention
4. The Compliance Officer will report the Compliance Program activities and potential institutional risk areas to the URMC leadership periodically and to the URMC Board of Trustees at least once per year.