## START RECORDING

## **FEMA Emergency Management Institute**

ICS 200 Basic Incident Command System for Initial Response





## Presenter

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## **ICS 200 COURSE**

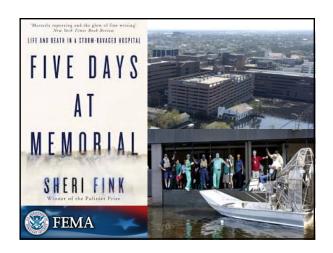
The Emergency Management Institute developed the IS-200.c Basic Incident Command System for Initial Response, ICS 200 course in collaboration with:

- National Wildfire Coordinating Group (NWCG)
- U.S. Department of Agriculture (USDA)
- U.S. Fire Administration's National Fire Programs Branch
- United States Coast Guard (USCG)

IS-200.c follows NIMS guidelines and meets the National Incident Management System (NIMS) Baseline Training requirements for ICS 200.









## **Course Objectives**

This course is designed to enable personnel to operate efficiently during an incident or event within the Incident Command System (ICS) and focuses on the management of an initial response to an incident.

## Overall Course Objectives

- Describe the course objectives and summarize basic information about the Incident Command System (ICS) and National Incident Management System (INIS).
   Describe how the NMS Management Characteristics relate to Incident Command and Unified Command.
   Describe the delegation of authority process, implementing authorities, management by objectives, and preparedness plans and

- objectives.

   Identify ICS organizational components, the Command Staff, the General Staff, and ICS tools.

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   Describe different types of briefings and meetings.

   Explain flexibility within the standard ICS organizational structure.

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   Explain transfer or command briefings and procedures.

   Use ICS to manage an incident or event.

## Course Structure

- Lesson 1: Course Overview
  Lesson 2: Incident Command and Unified Command
  Lesson 3: Delegation of Authority and Management by Objectives
  Lesson 4: Functional Areas and Positions
  Lesson 5: Incident Briefings and Meetings
  Lesson 6: Organizational Floridary
  Lesson 7: Transfer of Command



## Lesson 1 Overview

This lesson provides an overview of the Incident Command System (ICS) and the National Incident Management System (NIMS).

## Lesson Objectives

At the end of this lesson, you should be able to:

- . Describe the Incident Command System (ICS).
- Describe the National Incident Management System (NIMS).



## **Incident Command System (ICS)**

ICS is a standardized management tool for meeting the demands of small or large emergency or nonemergency situations

- Represents "best practices," and has become the standard for emergency management across the country
- Used for planned events, natural disasters, and acts of terrorism
- Is a part of the National Incident Management System (NIMS)

ICS is not just a standardized organizational chart, but an entire management system.

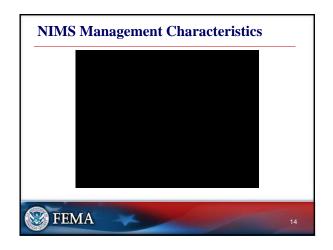




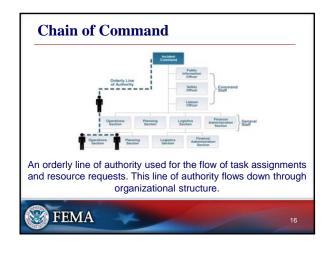


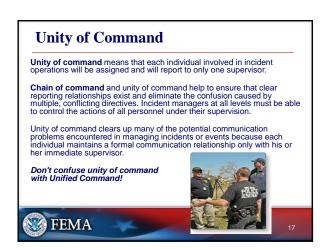
## Why ICS? All levels of government, the private sector, and nongovernmental agencies must be prepared to prevent, protect against, mitigate, respond to, and recover from a wide spectrum of major events and natural disasters that exceed the capabilities of any single entity. Threats from natural disasters and human-caused events, such as terrorism, require a unified and coordinated national approach to planning and to domestic incident management. 🥽 FEMA **Homeland Security Presidential Directives** HSPD-5, Management of Domestic Incidents, identified steps for improved coordination in response to incidents. It required the Department of Homeland Security (DHS) to coordinate with other Federal departments and agencies and State, local, and tribal governments to establish a National Response Framework (NRF) and a National Incident Management System (NIMS). HSPD-8, National Preparedness, directed DHS to lead a national initiative to develop a National Preparedness System and a common, unified approach to strengthen the preparedness of the United States to prevent and respond to threatened or actual terrorist attacks, major disasters, and other emergencies. Presidential Policy Directive 8 (PPD-8), National Preparedness, describes the Nation's approach to preparedness-one that involves the whole community, including individuals, businesses, community- and faith-based organizations, schools, tribes, and all levels of government (Federal, State, local, tribal and territorial). **FEMA** National Incident Management System (NIMS) Overview NIMS provides a consistent framework for incident management at all jurisdictional levels regardless of the cause, size, or complexity of the incident. NIMS provides our nation's first responders and other cognizant authorities with the same management foundation for incidents such as terrorist attacks, natural disasters, other emergencies, and for events of local, regional or national significance. 🥜 FEMA

## Major Components of NIMS Jurisdictions and organizations involved in the management of incidents vary in their authorities, management structures, communication capabilities and protocols, and other factors. NIMS major components provide a common framework to integrate diverse capabilities and achieve common goals. The application of all three components is vital to successful NIMS implementation.



t the end o	f this lesson, you should be able to:
<ul> <li>Describ</li> </ul>	e chain of command and formal communication relationships.
	common leadership responsibilities and values.
	e span of control and modular development.
<ul> <li>Describ</li> </ul>	e the use of position titles.
/hile exami nanagemer	ning Chain of Command and Unity of Command, we will also discuss additional information related to leadership in inciden t
unugunu	t.
/e'll begin b	y reviewing chain of command.





# Unified Command (1 of 2) When no one jurisdiction, agency, or organization has primary authority and/or the resources to manage an incident on its own, Unified Command may be established. There is no one "Commander." The Unified Command can allocate resources regardless of ownership or location. This illustration shows three responsible agencies managing an incident together under a Unified Command.

## **Unified Command (2 of 2)**

Unified Command enables all responsible agencies to manage an incident together by establishing a common set of incident objectives and strategies

- Allows Incident Commanders to make joint decisions by establishing a single command structure at one Incident Command Post (ICP)
- Maintains Unity of Command. Each employee reports to only one supervisor





## **Advantages of Unified Command**

Advantages of using Unified Command include:

- A single set of objectives guides incident response.
- Collective approach to develop strategies to achieve incident objectives.
- Information flow and coordination are improved between all involved.
- All agencies have an understanding of joint priorities and restrictions.
- No agency's legal authorities will be compromised or neglected.





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## **Integrated Communications Overview**



Formal communications follow lines of authority. However, information concerning incident or event can be passed horizontally or vertically within organization without restriction.



## **Formal Communication**

Formal communication must be used when:

- · Receiving and giving work assignments
- · Requesting support or additional resources
- Reporting progress of assigned tasks



Other information concerning incident or event can be passed horizontally or vertically within the organization without restriction. This is known as informal communication.



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## **Informal Communication**

Informal communication:

- Is used to exchange incident or event information only
- Is NOT used for:



- Formal requests for additional resources
- Tasking work assignments



Within the ICS, critical information must flow freely!



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## **Informal Communication (Continued)**

- Communications Unit Leader may directly contact the Resources Unit Leader to determine the number of persons requiring communications devices.
- Cost Unit Leader may directly discuss and share information on alternative strategies with the Planning Section Chief.



## **Common Leadership Responsibilities**

Good leaders communicate by giving specific instructions and asking for feedback as they supervise the scene of action.

- Evaluates the effectiveness of the plan.
- Understands and accepts the need to modify plans or instructions.
- · Ensures safe work practices.
- · Takes command of assigned resources.
- Motivates with a "can do safely" attitude.
- Demonstrates initiative by taking action.





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## **Commitment to Duty**

Duty begins with everything required by law and policy, but it is much more than simply fulfilling requirements.

How does an effective leader demonstrate a commitment to duty?

- · Take charge within his or her scope of authority.
- . Be prepared to step out of a tactical role to assume a leadership role.
- Be proficient in his or her job.
- Make sound and timely decisions.
- Ensure tasks are understood.
- Develop subordinates for future events.





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## **Leadership & Respect**

In order to maintain leadership and respect, a leader should:

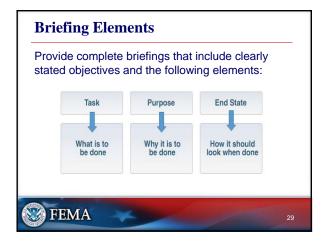
- Know his or her subordinates and look out for their well-being. A leader's workforce is his or her greatest resource. Not all workers will succeed equally, but they all deserve respect.
- Keep his or her subordinates and supervisor informed by providing accurate and timely briefings and giving the intent behind assignments and tasks.
- Build the team. Conducting frequent briefings and debriefings with the team enables a leader to monitor progress and identify lessons learned. Considerations made during these meetings should include team experience, fatigue, and physical limitations when accepting assignments.

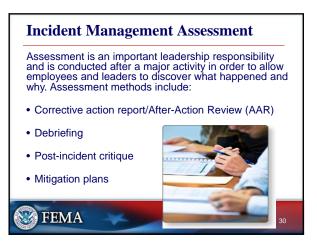


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## Communication Responsibilities To ensure sharing of critical information, all responders must: Brief others as needed Debrief their actions Communicate hazards to others Acknowledge messages Ask if they do not know The most effective form of communication is face-to-face!

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## **Using Common Terminology**

ICS establishes common terminology that allows diverse incident management and support entities to work together.

Major functions and functional units with incident management responsibilities are named and defined. Terminology for the organizational elements involved is standard and consistent.



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## **ICS Organization Review**

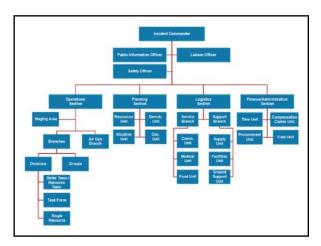
The ICS organization is typically structured to facilitate activities in five major functional areas: Command, Operations, Planning, Logistics, and Finance/Administration

- Is adaptable to any emergency or incident to which domestic incident management agencies would be expected to respond
- Has a scalable organizational structure that is based on the size and complexity of the incident

However, this flexibility does NOT allow for the modification of the standard, common language used to refer to organizational components or positions.







## **Manageable Span of Control**

The optimal span of control for incident management is one supervisor to five subordinates; however, effective incident management frequently necessitates ratios significantly different from this. The 1:5 ratio is a guideline, and incident personnel use their best judgment to determine the actual distribution of subordinates to supervisors for an incident or EOC activation.



## **Modular Organization**

ICS adheres to a "form follows function" philosophy. The size of the current organization and that of the next operational period is determined through the incident planning process.

Because the ICS is a modular concept, managing span of control is accomplished by organizing resources into Teams, Divisions, Groups, Branches, or Sections. When the supervisor-to-subordinate ratio exceeds manageable span of control, additional Teams, Divisions, Groups, Branches, or Sections can be established. When a supervisor is managing too few subordinates, Sections, Branches, Divisions, Groups, or Teams can be reorganized or demobilized to reach a more effective span of control.



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## **Typical Organizational Structure**

The initial response to 95% of domestic incidents is typically handled by local "911" dispatch centers, emergency responders within a single jurisdiction, and direct supporters of emergency responders. Most responses only need:

- Command: Incident Commander (and possibly Command Staff)
- Single Resource: An individual, a piece of equipment and its personnel complement, or an established crew or team of individuals with an identified work supervisor that can be used on an incident







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## Use of Position Titles At each level within the ICS organization, individuals with primary responsibility positions have distinct titles. Using specific ICS position titles serves these important purposes: Provides a common standard Ensures qualified individuals fill positions Ensures that requested personnel are qualified Standardizes communication Describes the responsibilities of the position



## Lesson 3 Overview

The Delegation of Authority & Management by Objectives lesson introduces you to the delegation of authority process, implementing authorities, management by objectives, and preparedness plans and agreements.

## Lesson Objectives

At the end of this lesson, you should be able to:

- · Describe the delegation of authority process.
- · Describe scope of authority.
- · Describe management by objectives.
- Describe the importance of preparedness plans and agreements.



## **Delegation of Authority Process**

Authority: a right or obligation to act on behalf of a department, agency, or jurisdiction.

- In most jurisdictions, the responsibility for the protection of the citizens rests with the chief elected official. Elected officials have the authority to make decisions, commit resources, obligate funds, and command the resources necessary to protect the population, stop the spread of damage, and protect the environment.
- The Authority Having Jurisdiction (AHJ) is the entity that creates and administers
  processes to qualify, certify, and credential personnel for incident-related positions.
  AHJs include state, tribal, or Federal government departments and agencies,
  training commissions, NGOs, or companies, as well as local organizations such as
  police, fire, public health, or public works departments.
- In private industry, this same responsibility and authority rests with the chief executive officer.



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## **Scope of Authority**

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Incident Commander scope of authority is derived:

- From existing laws, agency policies, and procedures
- Through a delegation of authority from the agency administrator or elected official.



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## **Delegation of Authority** Process of granting authority to carry out specific functions is called the delegation of authority. Grants authority to carry out specific functions Is issued by the chief elected official, chief executive officer, or agency administrator in writing or verbally Allows the Incident Commander to assume command Does NOT relieve the granting authority of the ultimate responsibility for the incident Ideally, this authority will be granted in writing. Whether it is granted in writing or verbally, the authorities granted remain with the Incident Commander until such time as the incident is terminated, or a relief shift Incident Commander is appointed, or the Incident Commander is relieved of his or her duties for just cause. **FEMA Delegation of Authority: Elements** Legal authorities and restrictions Financial authorities and restrictions Delegation of Authority · Demographic issues · Political implications · Agency or jurisdictional priorities Plan for public information management · Process for communications · Plan for ongoing incident evaluation The delegation should also specify which incident conditions will be achieved prior to a transfer of command or release. FEMA Sample Delegation of Authority is assigned as Incident Commander on the You have full authority and responsibility for managing the incident activities within the framework of agency policy and direction. Your primary responsibility is to organize and direct your assigned and ordered resources for efficient and effective control of the incident. or his/her designated representative listed below. Financial limitations will be consistent with the best approach to the values at risk. Specific direction for this incident covering management and FEMA

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## Management by Objectives ICS is managed by objectives. Objectives are communicated throughout the entire ICS organization through the Incident Action Planning process. Management by Objectives includes establishing overarching objectives and: • Developing and issuing assignments, plans, procedures, and protocols. • Establishing specific, measurable objectives for various incident management functional activities. • Directing efforts to attain them, in support of defined strategic objectives. • Documenting results to measure performance and facilitate corrective action.

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# Establishing & Implementing Objectives The steps for establishing and implementing objectives include: • Step 1: Understand agency policies and the direction they provide. • Step 2: Assess incident situation. • Step 3: Establish incident objectives. • Step 4: Select appropriate strategy to achieve objectives. • Step 5: Perform tactical direction. • Step 6: Provide necessary follow-up. FEMA

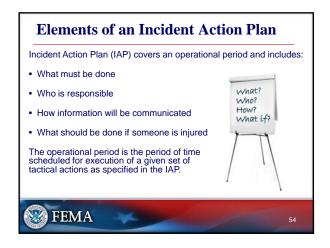
## Initial Response: Conduct a "Size-Up" In an initial incident, a size-up is done to set the immediate incident objectives. The first responder to arrive must assume command and size-up the situation by determining: Nature and magnitude of the incident Hazards and safety concerns Hazards facing response personnel and the public Evacuation and warnings Injuries and casualties Need to secure and isolate the area Initial priorities and immediate resource requirements Location of Incident Command Post and Staging Area Entrance and exit routes for responders

## Overall Priorities Throughout the incident, objectives are established based on the following priorities: • First Priority: Life Safety • Second Priority: Incident Stabilization • Third Priority: Property Preservation Overall priorities for an incident define what is most important. These are not a set of steps, you do not complete all life safety actions before you start any efforts to stabilize the incident. Often these priorities will be performed simultaneously.

# Effective Incident Objectives Incident objectives must be: • Specific and state what's to be accomplished • Measurable and include an objective standard for completion • Attainable and Reasonable (a bit subjective?) • In accordance with the Incident Commander's authorities • Evaluated (usually by time) to determine effectiveness of response EXAMPLE: "Establish a controlled perimeter around the entire incident site within 45 minutes (no later than 6 p.m.)"

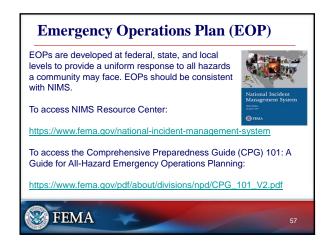
## Objectives, Strategies, and Tactics Incident objectives, strategies, and tactics are three fundamental pieces of a successful incident response. • Objectives state what will be accomplished. • Strategies establish general plan for accomplishing incident objectives. • Tactics specify how the strategies will be executed. Incident Objectives Strategies

# Objectives, Strategies, & Tactics: Example Objective: Stop the spread of hazardous materials from a tractor-trailer accident into the river by 1800 today. Strategy: Employ barriers. Tactics: Use absorbent damming materials to construct a barrier between downhill side of scene and Murkey Creek. The Incident Commander establishes goals and strategies. The Operations Section, if it is established, is responsible for determining appropriate tactics for an incident.



# Operational Period Planning Cycle ("Planning P") Incident Action Plan is completed each operational period utilizing the progression of meetings and briefings in the Operational Period Planning Cycle. The "Planning P" is a graphical representation of the sequence and relationship of the meetings, work periods, and briefings that comprise the Operational Period Planning Cycle. FEMA FEMA To present the Cycle ("Planning P") The "Planning P is a graphical representation of the sequence and relationship of the meetings, work periods, and briefings that comprise the Operational Period Planning Cycle.

## Preparedness Plans and Agreements The Incident Commander, as well as the Command and General Staffs, should have a working knowledge of jurisdictional and agency preparedness plans and agreements. Preparedness plans may take many forms. The most common preparedness plans are: • Federal, State, or local Emergency Operations Plans (EOPs) • Standard Operating Guides (SOGs) - a standard outline of policy • Standard Operating Procedures (SOPs) - a set of step-by-step instructions compiled by an organization to help workers carry out complex operations • Jurisdictional or agency policies



## Mutual Aid Agreements and Compacts NIMS states that: • Mutual Aid Agreements establish a legal basis for two or more entities to share resources. Mutual aid agreements may authorize mutual aid between neighboring communities and jurisdictions. • Jurisdictions should be party to agreements with the appropriate jurisdictions and/or organizations from which they expect to receive, or to which they expect to provide, assistance. Review NIMS Resource Management and Mutual Aid in Resource Center: <a href="https://www.fema.gov/resource-management-mutual-aid">https://www.fema.gov/resource-management-mutual-aid</a>

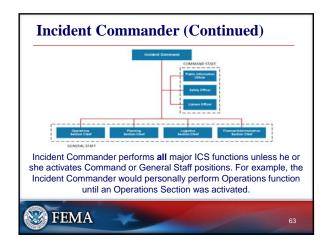
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# Mutual Aid and Assistance: All Levels Mutual aid agreements used at all levels of government: • Local jurisdictions voluntarily participate in mutual aid through agreements with neighboring jurisdictions. • States can voluntarily participate in mutual aid through the Emergency Management Assistance Compact (EMAC). • Federal agencies offer mutual aid to each other and to States, tribes, and territories under the National Response Framework (NRF).

## Information Derived from Plans Plans may include information about: Hazards and risks in the area Resources in the area Other formal agreements and plans Contact information for agency administrators and response personnel Other pertinent information relating to particular events

## Lesson 4 Overview The Functional Areas and Positions lesson introduces you to ICS organizational components, the Command Staff, the General Staff, and ICS tools. Lesson Objectives At the end of this lesson, you should be able to: Describe the functions of organizational positions within the Incident Command System (ICS). Identify the ICS tools needed to manage an incident. Demonstrate the use of an ICS Form 201. This lesson provides more in-depth information on ICS organizational elements.

# Incident Commander The Incident Commander has overall incident management responsibility delegated by the appropriate jurisdictional authority • Develops objectives to guide Incident Action Planning process • Approves the Incident Action Plan and all requests pertaining to the ordering and releasing of incident resources In some situations, a lower ranking but qualified person may be designated as the Incident Commander. Whatever their day-to-day position, when a person is designated as the Incident Commander, they are delegated the authority to command the incident response.



## **Deputy Incident Commander**

Incident Commander may have one or more Deputies. Deputies may be assigned at Incident Command, Section, or Branch levels. The only ICS requirement regarding a Deputy is that the Deputy must be fully qualified and equally capable to assume the position.

Three primary reasons to designate a Deputy Incident Commander are to:

- Perform specific tasks as requested by the Incident Commander.
- Perform the incident command function in a relief capacity (e.g., to take over for the next operational period). In this case, the Deputy will assume the primary role.
- Represent an Assisting Agency that may share jurisdiction or have jurisdiction in the future.





**Command Staff** 

Command Staff are only activated when needed. If a Command Staff position is not needed it will not be activated. For example, an incident may not require a Liaison Officer if there are not outside agencies or organizations to coordinate with.

Command Staff includes the following positions:

- Public Information Officer
- Liaison Officer
- · Safety Officer





## **Assisting Agency**

An agency or jurisdiction will often send resources to assist at an incident. In ICS these are called assisting agencies.

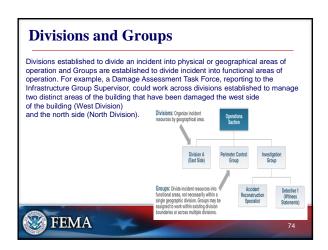
An assisting agency is defined as an agency or organization providing personnel, services, or other resources to the agency with **direct responsibility for incident management.** 

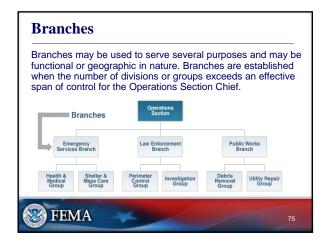


## **Cooperating Agency** An agency supplying assistance other than direct operational or support functions or resources to the management effort. Don't get confused between an assisting agency and a cooperating agency! An assisting agency has direct responsibility for incident response, whereas a cooperating agency is simply offering assistance. 🧱 FEMA **Agency Representative** An individual assigned to an incident from an assisting or cooperating agency. Agency Representatives are delegated authority to make decisions on matters affecting that agency's participation at the incident. **FEMA Expanding Incidents** Incident may start small and then expand. As they grow in scope there may be a need to activate Teams, Units, Divisions, Groups, Branches, or Sections to maintain an appropriate span of control. This expansion is a delegation that frees up the Incident Commander to perform critical decision-making and it clearly defines the lines of communication to everyone involved in the incident. Next, we'll review the major organizational elements that may be activated during an expanding incident. **FEMA**

## **Operations Section** Directs and coordinates all tactical operations: • Normally the second organization to be activated • Expands from bottom up • Has the most resources • May have "Staging Areas" and special organizations. 🥝 FEMA **Operations Section Chief** Responsible to Incident Commander for the direct management of all incident-related operational activities: • Establishes tactical objectives for each operational period • Has direct involvement in preparation of Incident Action Plan Operations Section Chief may have one or more Deputies assigned. Assignment of Deputies from other agencies may be advantageous in the case of multi-jurisdictional incidents. **FEMA Operations Section: Staging Areas** Staging Areas are set up at the incident where resources can wait for a tactical assignment. All resources in the Staging Area are assigned and ready for deployment. Out-of-service resources are NOT located at Staging Area. After a Staging Area has been designated, a Staging Area Manager will be assigned and will report to Operations Section Chief or Incident Commander if Operations Section Chief not designated. **FEMA**

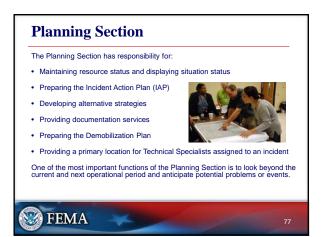


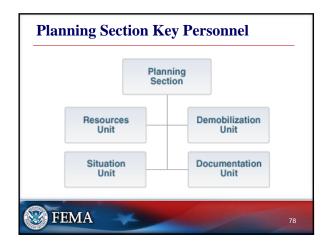




## Air Operations Branch Some incidents require aviation resources to provide tactical or logistical support. On smaller incidents, aviation resources will be limited in number and will report directly to the Incident Commander or to the Operations Section Chief. On larger incidents, it may be desirable to activate a separate Air Operations Branch to coordinate the use of aviation resources. The Air Operations Branch, will then report directly to the Operations Section Chief. The Air Operations Branch Director can establish two functional groups. The Air Tactical Group coordinates all airborne activity. The Air Support Group provides all incident ground-based support to aviation resources.

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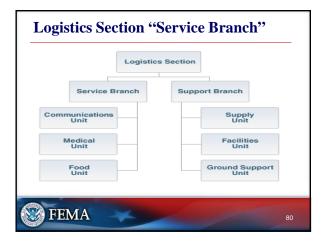


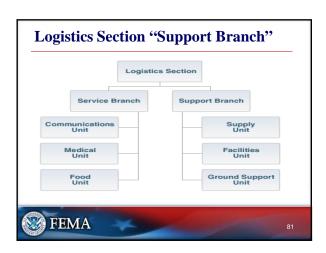
## **Logistics Section**

Early recognition for need for a Logistics Section can reduce time and money spent on an incident. Logistics is responsible for all support requirements, including:

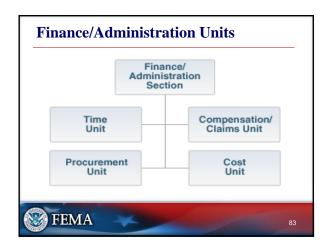
- Communications
- Medical support to incident personnel
- Food for incident personnel
- Supplies, facilities, and ground support

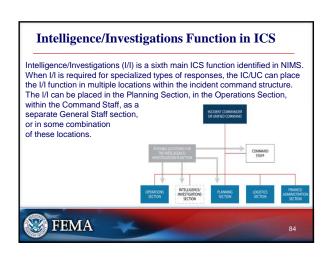






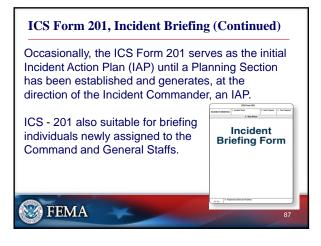
## Finance/Administration Section The Finance/Administration Section: • Established when incident management activities require finance and other administrative support services. • Handles claims related to property damage, injuries, or fatalities at the incident. Remember: the ICS organizational is flexible and scalable to adapt to any situation. Not all incidents require a Finance/Administration Section. If full Finance/Administration Section is not needed, it would not be activated. When only one specific function is needed (e.g., cost analysis), a Technical Specialist assigned to the Planning Section could provide these services.





# ICS Forms ICS Forms provide a method of recording and communicating key incident-specific information in a format that is simple, consistent, and supports interoperability. When using each ICS Form, you should ensure that you understand the following about each form: • What function does the form perform? • Who is responsible for preparing the form? • Who needs to receive this information?

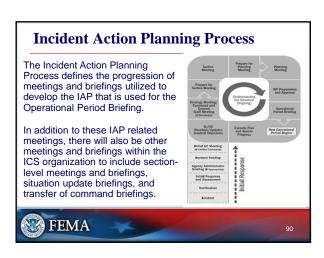
# Incident Briefing Form / ICS-201 The Incident Briefing Form (ICS - 201) is an eight-part form that provides an Incident Command/Unified Command with status information that can be used to brief incoming resources, an incoming Incident Commander or team, or a supervisor. The basic information includes: • Current Situation (significant events, maps, photos, diagrams) • Incident objectives (initial, or ongoing) • Summary of current actions • Status summary of resources assigned/ordered



## **Other Commonly Used ICS Forms** Commonly used Incident Command System forms can be found on FEMA's Emergency Management Institute website for ICS Forms: FEMA's Emergency website for ICS Forms ICS Form 202, Incident Objectives ICS Form 214, Unit Log ICS Form 215, Operational Planning Worksheet ICS Form 203, Organization Assignment List ICS Form 204, Assignment List ICS Form 215a, Incident Action Plan Safety Analysis ICS Form 205, Incident Radio Communications Plan ICS Form 216, Radio Requirements Worksheet ICS Form 206, Medical Plan ICS Form ICS Form 217, Radio Frequency Assignment Worksheet 207, Organizational Chart ICS Form 208, Safety Message ICS Form 218, Support Vehicle Inventory ICS Form 209. Incident Status Summary ICS Form 220, Air Operations Summary ICS Form 210, Status Change Card ICS Form 221, Demobilization Plan ICS Form 211, Check-In List ICS Form 308, Resource Order Form ICS Form 213, General Message

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## Lesson 5 Overview The Incident Briefings and Meetings lesson introduces you to different types of briefings and meetings. Lesson Objectives At the end of this lesson you should be able to: Describe components of field, staff, and section briefings/meetings. Prepare to give an Operational Period Briefing.



## **Levels of Briefings**

Three types of briefings/meetings used in ICS:

- Staff-level briefings
- Field-level briefings
- · Section-level briefings







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## **Staff Level Briefings**

Typically involves resources assigned to nonoperational and support tasks commonly performed at Incident Base or Command Post. Occur at the beginning of the assignment to the incident and as necessary during the assignment.

Supervisor clarifies tasks and scope of the work as well as define reporting schedule, subordinate responsibilities and delegated authority, and the supervisor's expectations.

Supervisor introduces coworkers and define actual workspace, sources of work supplies, and work schedule.



## **Field Level Briefings**

Typically involves resources assigned to operational tasks and/or work at or near the incident site.

Delivered to individual subordinates, full crews, or multiple crews such as Strike Teams or Task Forces and will occur at the beginning of an operational shift.

Location will usually be near the work site or just prior to mobilization to the field. The supervisor attempts to focus the subordinates on their specific tasks and helps define work area, reporting relationships, and expectations.



## **Section Level Briefings**

Typically involves the briefing of an entire Section (Operations, Planning, Logistics, or Finance/Administration) and is done by the specific Section Chief.

These briefings occur at the beginning of the assignment to the incident and after the arrival of Section supervisory staff.

The Section Chief may schedule periodic briefings at specific times (once per day) or when necessary. A unique briefing in this category is the Operational Period Briefing (also called a Shift Operations Briefing).



## **Briefing Topics Checklist**

Topics that you may want to include in a briefing:

- Current Situation and Objectives
- Safety Issues and Emergency Procedures
- Work Tasks & Work Schedules
- Facilities and Work Areas
- Communications Protocols
- Supervisory/Performance Expectations
- Process for Acquiring Resources, Supplies, and Equipment
- Questions or Concerns



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## **Operational Period Briefing**

A concise "Operational Period Briefing" is conducted at the beginning of each operational period. The briefing presents the *Incident Action Plan* for upcoming period to supervisors within the Operations Section and the other General Staff Sections.

Members of the Command Staff as well as specific support elements (i.e., Communications Unit, Medical Unit) can also attend to provide important information needed for safe and effective performance during the operational period.



## **Operational Period Briefing (1 of 3)**

- Operational Period Briefing is facilitated by the Planning Section Chief and follows a set agenda.
- · Planning Section Chief reviews agenda and facilitates briefing.
- Incident Commander or Planning Section Chief presents incident objectives or confirms existing objectives.
- Situation Unit Leader provides information on the current situation.
- Current Operations Section Chief provides current assessment and accomplishments.



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## **Operational Period Briefing (2 of 3)**

- On-coming Ops Section Chief covers work assignments and Division and Group staffing for upcoming operational period.
- Logistics Section Chief provides updates on transportation, communications, and supplies.
- Finance/Administration Section Chief provides any fiscal updates.
- Public Information Officer provides information on public information issues.
- · Liaison Officer briefs any interagency information.



## **Operational Period Briefing (3 of 3)**

- Technical Specialists present updates on conditions affecting the response (weather, fire behavior, environmental factors).
- Safety Officer reviews specific risks to operational resources and the identified safety/mitigation measures.
- Incident Commander reiterates operational concerns and directs resources to deploy.
- Planning Section Chief announces the next Planning Meeting and Operational Period Briefing, then adjourns the meeting.



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## **Lesson 6 Objectives**

The Organizational Flexibility lesson introduces you to flexibility within the standard ICS organizational structure.

## **Lesson Objectives**

At the end of this lesson, you should be able to:

- Explain how modular organization expands and contracts.
- · Identify factors in analyzing incident complexity.
- · Define the five types of incidents.



## Flexibility and Standardization

A guiding principle of NIMS is flexibility. ICS organization may be expanded easily from a very small size for routine operations to a larger one to handle catastrophic events. Standardization does NOT limit flexibility. Flexibility does NOT mean that the NIMS Management Characteristic Common Terminology is superseded.

Flexibility is exercised only within the standard ICS organizational structure and position titles. Flexibility does not mean using nonstandard organizational structures or position titles that would interfere with the NIMS Management Characteristics Common Terminology and Modular Organization.





## **Modular Organization**

Incident command structure is based on:

- · Size and complexity of the incident
- · Specifics of the hazardous environment
- Planning process and incident objectives



## **ICS Expansion and Contraction**

There are no hard-and-fast rules, but remember:

- Only necessary functions & positions get activated
- Each element must have a person in charge.
- An effective span of control must be maintained.



## **Activation of Organizational Elements**

Many incidents will never require the entire Command or General Staff or all elements within each Section. Other incidents may require some or all of the Command Staff and Sectional all sub-elements.

Activation of an element (Section, Branch, Division, Group or Unit) must be based on objectives and resource needs.

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## **Activation (Continued)**

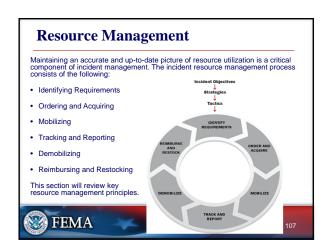
Organizational elements may be activated in various Sections **without** activating the Section Chief. Example: Situation Unit can be activated without a

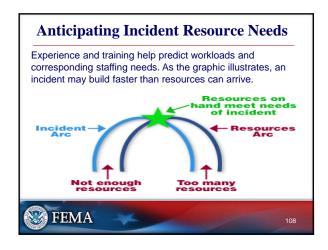
Planning Section Chief assigned. In this case, supervision of Situation Unit rests with Incident Commander.





# Avoid Combining Positions It is tempting to combine ICS positions to gain staffing efficiency. Rather than combining positions, you may assign the same individual to supervise multiple units. When assigning personnel to multiple positions, do not use nonstandard titles. Creating new titles may be unrecognizable to assisting or cooperating personnel and may cause confusion. Be aware of potential span-of-control issues that may arise from assigning one person to multiple positions. Bob Supply Unit Ground Support Unit





### Predicting Incident Workload Workload patterns are often predictable throughout incident life cycle. Operations Section: workload is immediate and often massive. On a rapidly escalating incident, the Operations Section Chief must determine appropriate tactics; organize, assign, and supervise resources; and at the same time participate in the planning process. Planning Section: Resources and Situation Units will be very busy in the initial phases of the incident. In the later stages, the workload of the Documentation and Demobilization Units will increase. Logistics Section: Supply and Communications Units will be very active in the initial and final stages of the incident.

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### **Analyzing Incident Complexity**

Strike the right balance when determining resource needs. Having too few can lead to loss of life and property, while having too many can result in unqualified personnel deployed without proper supervision.

A complexity analysis can help:

- Identify resource requirements
- · Determine if management structure is appropriate



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### **Complexity Analysis Factors**

- Community and responder safety
- Impacts to life, property, and the economy
- Potential hazardous materials
- Weather and other environmental influences
- Likelihood of cascading events
- Potential crime scene (including terrorism)
- · Political sensitivity, external influences, and media relations
- · Area involved, jurisdictional boundaries
- Availability of resources



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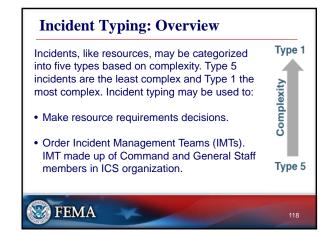
### Resource Typing Defines and categorizes incident resources by capability. Typing is done to ensure that responders get the right personnel and equipment. ICS resources are categorized by: Capability: The Core Capability or which a resource is most useful. Category: The function for which a resource is most useful. Kind: basic description (personnel, teams, facilities, equipment, supplies) Type: Resource's minimum capability to perform its function. The level of capability is based on size, power and capacity (for equipment), or experience and qualifications (for personnel or teams).

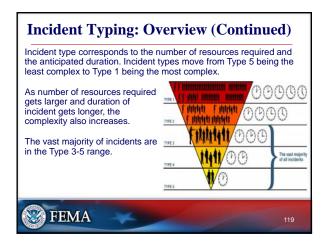


### Resource Typing (Continued) Resource types range from Type I (most capable) to Type IV (least capable), letting you reserve the appropriate level by describing the size, capability, and staffing qualifications of a specific resource. Capabilities Type IV Type I FEMA

### Resource Typing and NIMS Resource Management is a key component of NIMS. This effort helps all Federal, State, tribal, and local jurisdictions locate, request, and track resources to assist neighboring jurisdictions when local capability is overwhelmed. The Resource Typing Library Tool (RTLT) is an online catalogue of national resource typing definitions, position qualifications and Position Task Books (PTBs) provided by the Federal Emergency Management Agency (FEMA). For more information access the RTLT at: https://rtlt.preptoolkit.fema.gov.

## Additional Resource Terminology The following terms apply to resources: • Single Resource: an individual, a piece of equipment and its personnel complement, or a crew or team of individuals with an identified work supervisor that can be used on an incident. • Strike Team / Resource Team: a set number of resources of the same kind and type with common communications operating under the supervision of a Strike Team Leader. • Task Force: combination of mixed resources with common communications and supervised by a Task Force Leader. Strike Team Single Resource Strike Team







### **Type 4 Incident**

- Resources: Command Staff and General Staff functions are activated if needed. Several single resources are required to mitigate the incident.
- Time Span: Limited to one operational period in the control phase. No written Incident Action Plan is required for non-HazMat incidents. A documented operational briefing is completed.





### **Type 3 Incident**

- Resources: When capabilities exceed initial response, appropriate ICS positions should be added to match incident complexity. Some or all of Command and General Staff positions may be activated, as well as Division or Group Supervisor and/or Unit Leader level positions. An Incident Management Team (IMT) manages initial action incidents with a significant number of resources, and an extended response incident until containment/control is achieved.
- Time Span: May extend into multiple operational periods and a written Incident Action Plan may be required





### **Type 2 Incident**

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- · Resources: Regional and/or national resources required. Most or all Command and General Staff positions filled. Operations personnel typically do not exceed 200 per operational period and the total does not exceed 500. The agency administrator/official is responsible for the incident complexity analysis, agency administrator briefings, and written delegation of authority.
- Time Span: Multiple operational periods. Written Incident Action Plan is required for each operational period.



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### **Type 1 Incident**

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- Resources: National resources are required. All Command and General Staff positions activated, and Branches need to be established. Operations personnel often exceed 500 per operational period and total personnel will usually exceed 1,000. High impact on the local jurisdiction, requiring additional staff for administrative and support functions. Incident may result in a disaster declaration.
- Time Span: Multiple operational periods.
   A written Incident Action Plan required for each operational period.



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### **Incident Management Teams (IMTs)**

Group of ICS-qualified personnel consisting of Incident Commander and Command and General Staff. IMT types correspond to incident type:

- Type 5: Local Village and Township Level
- Type 4: City, County, or Fire District Level
- Type 3: State, Territory, Tribal, or Metropolitan Level
- Type 2: National and State Level
- Type 1: National and State Level (Type 1 Incident)

Team members are certified as having the necessary training and experience to fulfill IMT positions.



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### Lesson 7 Overview

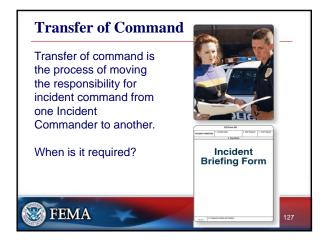
The Transfer of Command lesson introduces you to transfer of command briefings and procedures.

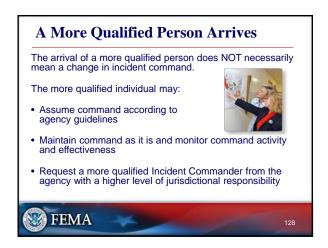
### Lesson Objectives

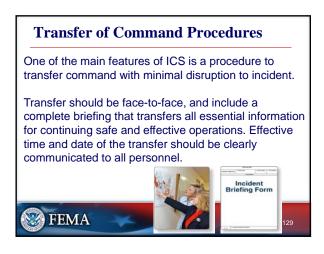
At the end of this lesson you should be able to:

- Describe the process of transfer of command.
- List the briefing elements involved in transfer of command.









### Transfer of Command Briefing Elements A transfer of command briefing should always take place, and it should include: Situation status Incident objectives and priorities Current organization Resource assignments Resources ordered and en route Incident facilities Incident facilities Incident prognosis, concerns, and other issues Introduction of Command and General Staff members



### To receive a certificate of completion, you must take the multiple-choice Final Exam and score at least 75 percent on the test. Upon successful completion of the Final Exam, you will receive an e-mail message with a link to your electronic certification.

# Uhen the review is completed, follow these Final Exam instructions: 1. Take a few moments to review your Student Manual and identify any questions. 2. Make sure that you get all of your questions answered prior to beginning the final test. 3. When taking the test online • Go to http://training.fema.gov/iS/cralist.asp and click on the link for IS-0200.c. • Click on "Take Final Exam." • Read each item carefully. • Check your work before submitting your answers. POST WEBINAR REMINDERS • ATTENDANCE Cross Sinals Sheet test that we realised to webind fill generated by COS today. If you don't utilize.

Group Sign-In Sheet should be emailed to <a href="https://www.nylearnsph.com">white/4@amc.edu</a> by COB today. If you don't utilize the RTC sign-in sheet template, you must include course name, facility name, date and time on your facility Sign-In Sheet.

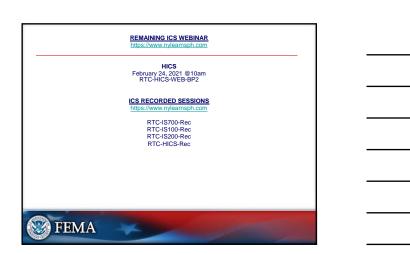
• LMS CERTIFICATE

If you want to receive a NYSDOH LMS generated course certificate please make sure you have registered for the session in LMS and have completed an evaluation at <a href="https://www.nylearnsph.com">www.nylearnsph.com</a>

• FEMA EXAM/CERTIFICATION

At the conclusion of this webinar please log onto the FEMA website to complete the FINAL EXAM. FEMA has implemented the use of a Student Identification (SID) number. You will be required to obtain and use the SID to take the exam. If you do not yet have a SID follow the steps at this link to register for one: <a href="https://raining.fema.gov/apply/notice.aspx">https://raining.fema.gov/apply/notice.aspx</a>

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Course Structure	
This course is divided into eight lessons plus the Course Summary	
Lesson 1: Course Overview     Lesson 2: Incident Command and Unified Command     Lesson 3: Displantion of Authority and Management by Objectives	-
Lesson 1: Course Overnew Lesson 2: Incident Command and Unified Command Lesson 3: Delegation of Authority and Management by Objectives Lesson 4: Functional Reveals and Positions Lesson 5: Incident Briefings and Meetings Lesson 6: Organizational Flexibility	
Lesson 0: Organizacktar resource     Lesson 7: Transfer of Command     Lesson 8: Application Activity     Lesson 9: Course Summary	
Lesson a Course Summary	
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instructions: Match each term to its definition below by selecting the correct term from each dropdown list. Scroll down to see all of	
the definitions. When you are done, select Check  1 means that each individual has a designated	
supervisor to whom they report to at the scene of the incident.  2 allows agencies with different legal, geographic, and functional authorities and report functional authorities and report functional authorities.	
without affecting individual apency authority responsibility or accountability or accountability are controlled to the coder framework of authority within the ranks of the incodert management organization.	
the incident management organization.	
Instructions: Select the correct answer below.	
The command function must be clearly established from the beginning of an incident. When command is transferred, the process must include:	
<ul> <li>A meeting of all Command and General Staff to communicate tactical direction.</li> <li>A briefing that captures all essential information for continuing safe and effective operations.</li> </ul>	
<ul> <li>A revision of the incident objectives and the development of a new incident Action Plan.</li> <li>A listing of preferred terminology for organizational functions, incident facilities, resource descriptions, and position titles.</li> </ul>	
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Instructions: Select who would be responsible for the task described below	
Who is the point of contact for representatives of other governmental agencies, nongovernmental organizations, and/or private entities?	
Public Information Officer Liaeson Officer	
Safety Officer	
Instructions. Select the Section that is responsible for the task described below.  Which Section collects, evaluates, and disseminates incident situation information and intelligence?	
Operations Section	
Planning Section Logistics Section	
FEMA FEMA	

