Forensic Psychiatry Case Conference

Rochester Psychiatric Center Regional Forensic Unit

FACULTY:
J. Richard Ciccone, M.D.

Program Description

The Fellows present patients who raise diagnostic and forensic questions. Following the case presentation, the patient is interviewed by the faculty member and a discussion follows. The case conference includes an opportunity to observe faculty members conduct a clinical interview and forensic examination.

Goal

Develop expertise and skill in the oral presentation of a forensic case.

Objective*

Fellow will demonstrate the ability to assess individuals in a forensic setting.

* The measurement tools, as appropriate, will be used to assess the fellow’s ability regarding each objective: the interview checklist, the oral presentation checklist, the forensic report checklist and the expert witness checklist.

Legal Seminar

Thursdays, 4:30 p.m. – 6:00 p.m.

FACULTY:
Hon. John J. Connell
Joyce Parker, J.D.
Charles Steinman, J.D.
Richard Tubiolo, J.D.

Program Description

The Federal and State court system, their structures, respective subject matter and jurisdictions of each court will be discussed. Penal, correctional, Mental Hygiene and Criminal Procedure Laws of the State of New York, specifically sections dealing with the interface of law and psychiatry, are also reviewed. Individual crimes are studied and their elements are taught to the Fellows so that a better understanding may be elicited of what the prosecutor and defense attorney are really arguing about in a criminal trial.

Specific discussion is undertaken with regard to how a psychiatric professional deals with an attorney on a case and what the psychiatrist should expect of the attorney. Also covered are: how to handle the adversarial attorney; acting as an expert for the defense; who pays the expert; and report writing. The Fellows also have an opportunity to discuss questions that arise in day-to-day evaluation and treatment of forensic inpatients, individuals seen at the Court Mental Health Clinic, and on consultations at Strong Memorial Hospital.
Goal
This seminar provides education in basic law for forensic psychiatrists.

Objectives
The fellow will demonstrate knowledge of the legal system and the laws and cases that are relevant to the practice of forensic psychiatry. The fellows will be evaluated by the seminar leaders.

The seminar covers a number of topics:
- Court structure
- Use of the law library and online legal research
- U.S. Constitution
- Penal law
- Fact pattern
- Trial procedure
- Constitutional rights
- Course of a criminal case
- Culpable mental states
- Criminal responsibility
- Diminished capacity
- Competence to stand trial
- Criminal procedure
- Evidence
- Voluntary confessions
- Sentencing
- Mental hygiene law
- Department of health
- Psychic trauma
- Psychiatric disability evaluations
- Guardianship- article 81
- Malpractice
- Treatment over objection
- Termination of parental rights; child abuse
- Child custody determinations
- Children’s rights: confessions; rights and the schools
- Managed care contracts
- Physician compliance programs
Landmark Case Seminar
Faculty:

J. Richard Ciccone, M.D.
Joshua CW Jones, M.D.
Robert Weisman, D.O.

Goal

Fellow will become familiar with the Landmark Cases as designated by the American Academy of Psychiatry and the Law and additional cases of national importance or relevant to practice in New York State.

Civil Rights of Psychiatric Patients

Right to Treatment
- Donaldson v. O’Connor, 493 F.2d 507 (5th Cir. 1974)

Right to Refuse Treatment
- App. ofPres. & Dir. of Georgetown., 118 U.S. App. D.C. 80, 331 F.2d 1000 (1964)
- Rennie v. Klein, 720 F.2d 266 (3d Cir. 1983)

Right To Die

Civil Commitment

Managed Care
- Corcoran v. United Healthcare, Inc., 965 F.2d 1321 5th Cir. (1992)

Physician-Patient Relationship Cases

Informed Consent
- Kaimowitz v. Michigan DMH, 1 MDLR 147 (1976)
- Cruzan v. Director, Missouri DMH, 497 U.S 261, 110 S.Ct. 2841 (1990)

Confidentiality/Privilege/Privacy
- Lifschutz, In Re, 2 Cal. 3d 415, 467 P.2d 557 (1970)
Doe v. Roe, 400 N.Y.Supp.2d 668 (1977)

**Liability to Patients**
Clites v. Iowa, 322 N.W. 2d 917 (Iowa Ct. App. 1982)

**Duty to Protect**
Naidu v. Laird, 539 A.2d 1064 (Del. 1988)

**Criminal Process**

**Competency to Stand Trial**
Seiling v. Eyman, 478 F.2d 211 (9th Cir Ariz. 1973)

**Criminal Responsibility**

*Insanity Defense*
Foucha v. Louisiana, 112 S.Ct. 1780 (1992)

*Diminished Capacity*

**Psychiatry and the Death Penalty**
State v.Perry, 610 So.2d 746 (La. 1992)

**Prisoner's Rights**

**Defendant's Rights**

*Confessions*

*Guilty Pleas*
Sex Offenders
  Specht v. Patterson, 386 U.S. 605, 87 S.Ct. 1209 (1967)
  In re Young & Cunningham, 857 P.2d. 989 (WA 1993)

Drugs/Alcohol
  Robinson v. California, 370 U.S. 660, 82 S.Ct. 1417 (1962)
  Powell v. Texas, 392 U.S. 514, 88 S.Ct. 2145 (1968)

Hypnosis

Emotional Harm/Disability/Workplace Issues
  Dillon v. Legg, 68 Cal. 2d 728, 441 P.2d 912 (1968)

Americans With Disabilities Act

Sexual Harassment

Expert Witness Testimony Standards
  Frye v. U.S., 293 F. 1013 (1923)

Child Cases

Child Abuse Reporting
  Landeros v. Flood, 17 Cal. 3d 399, 551 P.2d 389 (1976)
  People v. Stritzinger, 34 Cal. 3d 505, 668 P.2d 738 (1983)
  State v. Andring, 342 N.W.2d 128 (Minn. 1984)

Juvenile Court
  Gault, In Re, 387 U.S. 1, 87 S.Ct. 1428 (1967)

Education Related Services

Custody
  Painter v. Bannister, 258 Iowa 1390, 140 N.W.2d 152 (1966)

Additional Cases in Psychiatry and the Law Discussed


10. Landau v. New York Hospital, 101 AD2d 489 (First Department, 1984).

Psychiatry and Law Seminar

FACULTY:
J. Richard Ciccone, M.D.
Joshua CW Jones, M.D.
Robert Weisman, D.O.

Seminar Series Description

Many of the principles can be transposed to civil legal issues and settings. The seminar will not only provide background and discussion of inpatient legal cases, but will also discuss current clinical and professional issues as they relate to the work of the fellows. The core topics of the lecture series are listed below.

Goal

This series of lectures is aimed at providing an in-depth analysis of some of the core issues faced by a forensic psychiatrist caring for patients hospitalized on a forensic unit.

Objectives

Fellow will demonstrate the ability to discuss important topics in forensic psychiatry and their application to clinical and forensic issues. Their work will be evaluated by the seminar leader.
Lectures

1. Competence to Stand Trial: I. Background
2. Competence to Stand Trial: II. CPL 730
3. Competence to Stand Trial: III. Clinical issues
4. Evaluation of Civil Competence
5. Writing a Forensic Report: I. Nature and Purpose
6. Writing a Forensic Report: II. Expectations of the Legal System
7. Writing a Forensic Report: III. Peer Review
8. Right to Refuse Treatment: I. Background
10. Right to Refuse Treatment III. Communicating with the Legal System
11. Expert Witness Testimony: I. The Legal Setting and Expectations
12. Expert Witness Testimony: II. Working with the Attorney
14. Criminal Responsibility: I. Background
15. Criminal Responsibility: II. Not Responsible Verdicts
16. Assessment of Dangerousness Mental Illness: I. Research Findings
17. Assessment of Dangerousness Mental Illness: II. Current Views
18. Involuntary Civil Commitment — I. Background
19. Involuntary Civil Commitment — II. Current Trends
20. Sex Offenders — I. Evaluation
21. Sex Offenders — II. Treatment
22. Sex Offenders — III. *Kansas v. Hendricks*
23. Reading the Forensic Psychiatric Literature — I
24. Reading the Forensic Psychiatric Literature — II
25. Research in Forensic Psychiatry — I. Design
26. Research in Forensic Psychiatry — II. Implementing Project
27. Confidentiality and Privilege
28. Duty to Warn — I. *Tarasoff*
29. Duty to Warn — II. Recent Legal Trends
30. Detection of Malingering — I
31. Detection of Malingering — II
32. HARE-PCLR Checklist
33. Disability Evaluation
34. Post-Traumatic Stress Disorder in Civil and Criminal Litigation
35. Informed Consent — I. Treatment
36. Informed Consent — II. Research Settings (*T.D. v. OMH*)
37. The Homeless Mentally Ill and the Criminal Justice System
38. The Mentally Ill in Jails and Prisons
39. The Americans with Disabilities Act
Seminar and Practicum on Psychiatry and the Law

FACULTY:
J. Richard Ciccone, M.D.
Odysseus Adamides, M.D.
David Putney, M.S., CRC

Location Description

The Monroe County Mental Health Center for Socio-legal Services was established in 1964 and is operated jointly by the University of Rochester Medical Center and Monroe County. The Center has the responsibility of providing psychiatric services including both evaluation and treatment to those individuals who are involved in the criminal justice system. The Clinic staff also provides consultation and education involving forensic psychiatric issues to the city, town, and county courts, parole and probation departments, and pre trial release program. Training for Fellows in Psychiatry and the Law has been associated with the Center since the founding of the Psychiatry and Law Program at the University of Rochester in 1986. The Center provides a unique blend of direct care, consultation, and training in the area of psychiatry and the law.

Goal

Develop expertise in communicating via forensic report with the legal system.

Objectives*

1. Fellow will demonstrate the ability to assess legal issues which affect the practice of psychiatry.

2. Fellow will demonstrate ability to communicate specific topics in psychiatry.

3. Fellow will demonstrate the ability examine and, in selected instances, provide treatment for individuals who are involved in the criminal justice system.

4. Fellow will demonstrate the ability to provide reports for the courts and other referring agencies.

5. Fellow will demonstrate the ability to examine ethical issues which arise from working at the interface of psychiatry and law.

6. Fellow will demonstrate the ability assess clinical issues that are seen more often in a Sociolegal Center setting.

* The measurement tools, as appropriate, will be used to assess the fellow’s ability regarding each objective: the interview checklist, the oral presentation checklist, the forensic report checklist and the expert witness checklist.

Seminar and Practicum Description
In order to meet these goals, the exercise has two components. A seminar component devoted, but not limited to, discussion of such topics as competence to stand trial, criminal responsibility, malpractice, right to treatment, right to refuse treatment, involuntarily hospitalization and family court. A schedule of lectures as well as reading assignments follows a practicum component which is devoted to a supervised psychiatric examination and discussion of individuals who have been referred by the courts and other agencies. These individuals may be seen in the Monroe County Mental Health Center for Socio-legal Services.

**Lecture Schedule**

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Odysseus Adamides, M.D.  
Forensic Fellows |
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<td>Session 9</td>
<td>Prediction of Dangerousness</td>
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<td>Sexual Offenders: Diagnosis</td>
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<td>Sexual Offenders: Treatment</td>
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<td>Duty to Warn</td>
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<td>ERISA and Managed Care</td>
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<td>Session 18</td>
<td>Right to Treatment</td>
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<td>Session 20</td>
<td>Diagnosis of Malingering</td>
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<td>Session 21</td>
<td>Legal Issues and the Medical Record</td>
<td>Odysseus Adamides, M.D.</td>
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Psychiatry and Law Seminar Reading List

Criminal Responsibility


Competence to Stand Trial and Civil Competence


Confidentiality and Privilege


Sex Offenders


Personality Disorder


Duty to Warn


ERISA


Right to Refuse Treatment


Malingering


Involuntary Civil Commitment


Malpractice


Americans with Disabilities Act


**Ethics**


**Prediction of Dangerousness**


Forensic Psychiatry Journal Club

Faculty Member’s Home
7:30 p.m. - 9:00 p.m.

CHAIR:
J. Richard Ciccone, M.D.

Program Description

The faculty and fellows will participate in periodic meetings devoted to reviewing and discussing articles from recent psychiatry, forensic psychiatry, and legal journals. Wherever possible, the papers and discussion will focus on a particular topic, e.g., privilege in federal courts, sexual predator legislation, and physician-assisted suicide.